

**Instructions :**

1. All questions are compulsory.
2. Figures to the right indicates full marks.

**Q.1** What is an IPO ? Explain the pre and post issue procedure of an IPO. [15]

**OR**

**Q.1** What is a Stock Exchange ? Explain the role of BSE & NSE in equity markets in India. [15]

**Q.2 a)** What is short selling & Securities Lending & Borrowing ? [8]

**b)** Explain Global Depository Receipts. [7]

**OR**

**Q.2 a)** Explain the procedure of Book Building. [8]

**b)** Write a note on AMBI. [7]

**Q.3 a)** What are the various products available in the Secondary Market? [8]

**b)** Explain the growth of Merchant Banking in India. [7]

**OR**

**Q.3 a)** Write a note on OTCEI. [8]

**b)** What are the different types of orders to be placed in the Stock Markets. [7]

**Q.4 a)** What is difference between Fixed Price issue and a Book Building issue. [8]

**b)** Write a note on NIFTY. [7]

**OR**

**Q.4 Write short notes on : (Ant 3)** [15]

1. Registration of Merchant Bankers.
2. Types of Preference Shares
3. NSDL
4. Details to the mentioned in a Contract Note.

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